



09-20-02

1713

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	10/023,677	
		Filing Date	February 14, 2002	
		First Named Inventor	James A. Kendrick	
		Group Art Unit	1713	
		Examiner Name	Hendersen	
Total Number of Pages in This Submission	7	Attorney Docket Number	98B014/5	
<b>ENCLOSURES (check all that apply)</b>				
<input type="checkbox"/> Fee Transmittal Form		<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group	
<input type="checkbox"/> Amendment / Response		<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input type="checkbox"/> After Final Affidavits/declaration(s)		<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
<input type="checkbox"/> Extension of Time Request		<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Express Abandonment Request		<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter	
<input checked="" type="checkbox"/> Information Disclosure Statement		<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):	
<input type="checkbox"/> Certified Copy of Priority Documents)		<input type="checkbox"/> Terminal Disclaimer	Post Card, Form 1449 and 67 References	
<input type="checkbox"/> Response to Missing Part/ Incomplete Application		<input type="checkbox"/> Request for Refund		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53				
REMARKS				
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>				
Firm Or Individual name	Stephen D. Prodnuk		Registration No. 43,020	
Signature	<i>Stephen D. Prodnuk</i>			
Date	September 19, 2002			
<b>CERTIFICATE OF MAILING</b>				
I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as Express Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: September 19, 2002 Express Mail No. EL404524389US				
Typed or printed name	Stephen D. Prodnuk			
Signature	<i>Stephen D. Prodnuk</i>		Date	September 19, 2002

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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b>			<b>Attny. Docket No. 98B014/5</b>
<b>In Re Application of: James Austin Kendrick</b>			
<b>U.S. Serial No. 10/023,677</b>	<b>Filing Date February 14, 2002</b>	<b>Examiner Hendersen</b>	<b>Group Art Unit 1713</b>

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

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**37 CFR § 1.97(b)**

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

**37 CFR § 1.97(c)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or  
☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**37 CFR § 1.97(d)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby requests consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed.

☒ This application is a:

- ☒ Continuation/ **Divisional**,  
☐ Continuation-in-Part,

of U.S.S.N. 09/654,799. Copies of the cited references are

☒ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

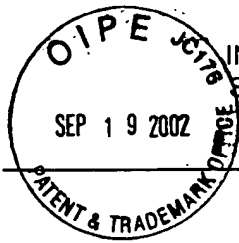
The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1715. A duplicate copy of this form is enclosed.

September 19, 2002  
(Date)

Stephen D. Prodruk  
Stephen D. Prodruk  
Attorney for Applicants  
Registration No. 43,020

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
98B014/5APPLICATION NO.  
10/023,677APPLICANT  
James Austin Kendrick et al.FILING DATE  
February 14, 2002GROUP  
1713INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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## U.S. PATENT DOCUMENTS

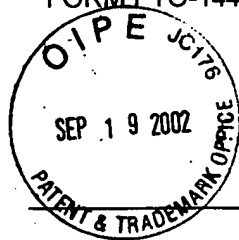
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1,693,786	12/04/28	Issachsen			
	2,988,527	06/13/61	Tegge	260	28.5	
	3,172,737	3/9/65	Whittington	23	285	7/6/61
	3,203,766	08/31/65	Mudd et al.	23	252	
	3,242,150	3/22/66	Scoggin	260	88.2	3/31/60
	3,248,179	4/26/66	Norwood	23	285	2/26/62
	3,257,363	6/21/66	Miller et al.	260	88.2	5/22/61
	3,262,922	7/26/66	Payne	260	93.7	2/8/63
	3,318,857	5/9/67	Dietz	260	93.7	5/24/63
	3,418,305	12/24/68	Payne et al.	260	94.9	11/25/64
	3,551,403	12/29/70	Delbouille et al.	260	94.9	8/1/68
	3,640,980	02/08/72	Baba	260	82.1	
	3,794,627	2/26/74	Giachetto	260	88.2	7/27/71
	3,956,257	5/11/76	Hogan	526	64	8/14/75
	4,099,335	7/11/78	Jezl et al.	34	9	11/19/76
	4,383,972	5/17/83	McCurdy et al.	422	131	7/15/81
	4,436,902	3/13/84	Wood et al.	528	501	2/12/82
	4,439,601	3/27/84	McCurdy et al.	528	481	7/15/81

EXAMINER

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

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	4,713,444	12/15/87	Matsuyama et al.	528	502	5/30/86
	4,832,915	5/23/89	Messura et al.	422	62	12/17/84
	5,276,115	1/4/94	Bohmer et al.	526	126	12/14/92
	5,314,579	5/24/94	Sung	159	47.1	12/22/92
	5,326,835	7/5/94	Ahvenainen et al.	526	64	8/20/92
	5,387,659	2/7/95	Hottovy et al.	526	59	2/8/93
	5,416,179	5/16/95	Welch et al.	526	160	3/16/94
	5,436,212	7/25/95	Geerts	502	113	4/15/94
	5,473,020	12/5/95	Peifer et al.	525	243	6/30/94
	5,480,948	1/2/96	Geerts	526	142	3/28/95
	5,492,985	2/20/96	Peifer et al.	526	127	5/31/95
	5,565,174	10/15/96	Burns et al.	422	131	6/6/95
	5,639,834	6/17/97	Debras et al.	526	64	10/26/94
	5,712,365	1/27/98	Arai et al.	528	498	3/20/96
	5,747,407	5/5/98	Martin	502	26	8/29/96
	5,986,021	11/16/99	Hokkanen et al.	526	64	2/10/98
	6,042,790	3/28/00	Hottovy et al.	422	131	10/24/94
	6,045,661	4/4/00	Kreischer et al.	203	73	5/20/98
	6,239,235	5/29/01	Hottovy et al.	526	64	7/15/97
	6,319,997	11/20/01	Kendrick et al.	526	64	
	6,380,325	04/30/02	Kendrick	526	64	

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December 18, 2001GROUP  
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	CA 857386	12/1/70	Canada				
	EP 416379	3/13/91	Europe				
	EP 432555	6/19/91	Europe				
	EP 0519266	03/06/92	Europe	B01J	19/24		
	DE1800935		Germany				
	JP 72013367	1972	Japan			X (English- language translation of abstract)	
	JP 62-13408	8/14/89	Japan			X	
	JP 07-286004	10/31/95	Japan			X	
	GB 841263	7/13/60	United Kingdom				
	GB 1 435 965	5/19/76	United Kingdom				
	WO0002929	01/20/00	PCT	C08F	10/00		
	WO 01/05842 A1	1/25/01	PCT				
	WO9736942	10/09/97	PCT	C08F	10/00		
	WO9947251	09/23/99	PCT	B01J	8/00		
	WO9960028	11/25/99	PCT	C08F			

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	K.B. Bryan et al., "Polypropylene: Supplement A." <u>SRI International Report</u> , Process Economics Program, Report No. 128A, August (1993).
	Declaration of Scott T. Roger As Part Of Supplemental Information Disclosure Statement (proprietary material).
	R.H. Perry & C.H. Chilton (Eds.), <u>Chemical Engineers' Handbook</u> (5th ed.), McGraw-Hill, Inc., New York, New York, pp. 5-46 - 5-47 (1973).
	USSN: 09/080,412 entitled "Continuous Slurry Polymerization Volatile Removal", filed May 18, 1998, Inventor: James Austin Kendrick (98B014/2)
	USSN: 09/955,729 entitled "Continuous Slurry Polymerization Volatile Removal", filed September 19, 2001, Inventors: James Austin Kendrick et al. (98B014A/3)
	USSN: 10/147,219 entitled "Continuous Slurry Polymerization Volatile Removal", filed May 14, 2002, Inventor: James Austin Kendrick (98B014/6)
	USSN: 09/992,770 entitled "Continuous Slurry Polymerization Volatile Removal", filed November 6, 2001, Inventors: James Austin Kendrick et al. (98B014B)
	USSN: 09/992,590 entitled "Continuous Slurry Polymerization Volatile Removal", filed November 6, 2001, Inventors: James Austin Kendrick et al. (98B014C)
	USSN: 10/079,226 entitled "Continuous Slurry Polymerization Process and Apparatus", filed February 19, 2002, Inventors: James Austin Kendrick et al. (98B014D)
	USSN: 10/085,809 entitled "Continuous Slurry Polymerization Process and Apparatus", filed February 28, 2002, Inventors: James Austin Kendrick et al. (98B014E)

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